

# CERTIFICATION BY EMPLOYEE'S HEALTH CARE PROVIDER FOR EMPLOYEE'S SERIOUS ILLNESS – FMLA

*This form is to be completed by employee's Health Care Provider when employee is requesting FMLA and **medical documentation is required** pursuant to 512.41, 513.36 and 515.5 of the ELM. Form PS 3971 must be completed by employee.*

**Employee's name** \_\_\_\_\_

**Description of serious health condition** (On the back of this form is the description of a "serious health condition" under FMLA. Does the patient's condition qualify under any of the categories described? If so, please check the applicable category. In all instances the information on the form must relate only to the serious health condition for which the current need for leave exists.

(1) \_\_\_\_\_ (2) \_\_\_\_\_ (3) \_\_\_\_\_ (4) \_\_\_\_\_ (5) \_\_\_\_\_ (6) \_\_\_\_\_ None of the above \_\_\_\_\_

**Describe the medical facts and/or treatment that meet the criteria of the serious health condition checked above (Medical diagnosis/prognosis is not required):**

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Date condition commenced:** \_\_\_\_\_  
**Probable duration of condition:** \_\_\_\_\_  
**Probable duration of present incapacity (if different):** \_\_\_\_\_

**Will the employee require leave on an intermittent or reduced schedule basis for planned medical treatment (e.g. follow-up treatment) of the employee's serious health condition, including pregnancy?** \_\_\_\_\_ Yes \_\_\_\_\_ No  
**If so, please provide an estimate of the dates and duration of such treatment and any period(s) of recovery:**

**Dates:** \_\_\_\_\_  
**Duration:** \_\_\_\_\_ hour(s) or \_\_\_\_\_ day(s) per episode.  
**Period of Recovery:** \_\_\_\_\_

**Will the employee require leave on an intermittent or reduced schedule basis for the employee's serious health condition, including pregnancy, that may result in unforeseeable episodes of incapacity (e.g. flare ups)?** \_\_\_\_\_ Yes \_\_\_\_\_ No  
**If so, please provide an estimate of the frequency and duration of such episodes of incapacity (e.g. 3 times per 1 month lasting 1-2 days):**

**Frequency:** \_\_\_\_\_ times per \_\_\_\_\_ week(s) \_\_\_\_\_ month(s):  
**Duration:** \_\_\_\_\_ hour(s) or \_\_\_\_\_ day(s) per episode.

**Is the employee able to perform the essential functions of employee's position?** \_\_\_\_\_ If no, describe the physical restrictions placed on the employee, including the duration of such restrictions.

\_\_\_\_\_  
\_\_\_\_\_

**Health Care Provider's Name (Please print):** \_\_\_\_\_

**Health Care Provider's Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Address:** \_\_\_\_\_

**Phone number:** \_\_\_\_\_ **Fax number:** \_\_\_\_\_

**Specialty/Type of Practice:** \_\_\_\_\_

# FMLA DESCRIPTION OF SERIOUS HEALTH CONDITION<sup>1</sup>

A “Serious Health Condition” means an illness, injury, impairment, or physical or mental condition that involves one of the following:

## 1. Hospital Care

Inpatient care (i.e. an overnight stay) in a hospital, hospice, or residential medical care facility, including any period of incapacity or subsequent treatment<sup>2</sup> in connection with or consequent to such inpatient care.

## 2. Absence Plus Treatment

A period of incapacity of more than three full consecutive days (including any subsequent treatment or period of incapacity relating to the same condition), that also involves:

- (a) Treatment two or more times (within 30 days of the first day of incapacity, unless extenuating circumstances exist) by a health care provider, by a nurse or physician’s assistant under direct supervision of a health care provider, or by a provider of health care services (e.g. physical therapist) under orders of, or on referral by, a health care provider; or
- (b) Treatment by a health care provider on at least one occasion which results in a regimen of continuing treatment<sup>3</sup> under the supervision of a health care provider.

The requirements for treatment by a health care provider means an in-person visit to a healthcare provider. The first (or only) in-person treatment visit must take place within seven days of the first day of incapacity.

## 3. Pregnancy

Any period of incapacity due to pregnancy, or for prenatal care.

## 4. Chronic Conditions Requiring Treatments

A chronic condition which;

- (a) Requires periodic visits (at least twice a year) for treatment by a health care provider, or by a nurse or physician’s assistant under direct supervision of a health care provider;
- (b) Continues over an extended period of time (including recurring episodes of a single underlying condition); and
- (c) May cause episodic rather than a continuing period of incapacity<sup>4</sup> (e.g., asthma, diabetes, epilepsy).

## 5. Permanent/Long-term Conditions Requiring Supervision

A period of incapacity<sup>4</sup> which is permanent or long term due to a condition for which treatment may not be effective. The employee or family member must be under the continuing supervision of, but need not be receiving active treatment by, a health care provider. Examples include Alzheimer’s, a severe stroke, or the terminal stages of a disease.

## 6. Multiple Treatments (Non-Chronic Conditions)

Any period of absence to receive multiple treatments (including any period of recovery therefrom) by a health care provider or by a provider of health care services under orders of, or on referral by, a health care provider, either for restorative surgery after an accident or other injury, or for a condition that would likely result in a period of incapacity<sup>4</sup> of more than three full consecutive calendar days in the absence of medical intervention or treatment, such as cancer (chemotherapy, radiation, etc.) severe arthritis (physical therapy), or kidney disease (dialysis).

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<sup>1</sup> Here and elsewhere on this form, the information sought relates only to the condition for which the employee is taking FMLA leave.

<sup>2</sup> Treatment includes examinations to determine if a serious health condition exists and evaluations of the condition. Treatment does not include routine physical examinations, eye examinations, or dental examinations.

<sup>3</sup> A regimen of continuing treatment includes, for example, a course of prescription medication (e.g. antibiotic) or therapy requiring special equipment to restore or alleviate the health condition. A regimen of treatment does not include the taking of over-the-counter medications such as aspirin, antihistamines, or salves; or bed-rest, drinking fluids, exercise, and other similar activities that can be initiated without a visit to a health care provider.

<sup>4</sup> “Incapacity,” for purposes of FMLA, is defined to mean inability to work, attend school or perform other regular daily activities due to the serious health condition, treatment therefor, or recovery therefrom.